



# RCUES LUCKNOW

Established by Ministry  
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## Chief Editor:

Prof. Nishith Rai,  
Director

## Editor:

Rachna Rishi,  
Publication Officer

## Congratulations



RCUES  
Lucknow takes  
pride that our  
Director  
Professor  
Nishith Rai

has been appointed as the  
Vice Chancellor of Dr  
Shakuntala Misra  
Rehabilitation University. Dr  
Rai is the first full fledged VC  
of the university. We wish  
him all success.

# Newsletter

(Quarterly Publication)

## Regional Centre for Urban & Environmental Studies, Lucknow

### Capacity Building Activities under Ministry of Urban Development, GOI

#### Social Audit of Urban Development Projects

#### PATNA

Social audit is a tool through which government departments can plan, manage and measure non-financial activities and monitor both internal and external consequences of the departments social and commercial operations. Social audit gives an understanding of the administrative system from the perspective of the vast majority of the people in the society for whom the very institutional/administrative system is being promoted and legitimized. Social audit of administration means understanding the administrative system and its internal dynamics from the angle of what they mean for the vast majority of the people, who are not essentially a part of the state or its machinery or the ruling class of the day, for whom they are meant to work.

Social audit is an innovative mechanism which can create the enabling conditions for public accountability. However, without an aware and demanding civil society, it would be difficult to make social audit work at the field level.

Hence a one day training programme on "Social Audit

of Urban Development Projects" was organized by the Regional Centre for Urban and Environmental Studies (RCUES), Lucknow for the senior municipal officials of Bihar on January 18, 2014 at Patna.

The programme was attended by thirty six senior officers of Department of Urban Development & Housing, Nagar Nigams and Nagar Palika Parishads of Bihar.

The course was directed by Dr. Anjali Mishra, Joint Director with the support of Dr. Nasruddin, Assistant Director, RCUES.



Participants during the training session

#### Community Participation in Urban Development

#### IMPHAL

One day training programme on 'Community Participation in Urban Administration' was organized for the officials and representatives of urban local bodies in Imphal on 21st January, 2014. The programme was inaugurated by Shri Bhaktaraj Singh, Additional Secretary, MAHUD and Deputy Secretary, MUDA, Government of Manipur. In his inaugural address, Shri Singh highlighted the need and importance of community participation in effective implementation of urban development programmes and schemes. He said that the role of Community

Organizers is important in the context of growing urban population and poverty alleviation. In the programme, 41 municipal officials, people's representatives from MUDA, MAHUD, & Urban Local Bodies of Manipur.

The programme was coordinated by Dr. A K Singh, Assistant Director, RCUES, Lucknow.



Shri Bhaktaraj Singh, Additional Secretary, MAHUD and Deputy Secretary, MUDA, GOI

#### Climate Change & its Impact on Urban Environment

#### LUCKNOW

One day training programme on 'Climate Change and Its Impact on Urban Environment' was organized for the officials and representatives of urban local governments in Lucknow on 28th Feb, 2014. The programme was inaugurated by Dr. Anjali Mishra, Acting Director, RCUES, Lucknow. In her inaugural address, she highlighted the need and importance of the subject of training programme. She said that the climate change has vast impact on urban environment and therefore, urban local governments need to evolve suitable strategies for addressing the issues of climate change in the context of urban environment. She also

highlighted the imperative need of improving the sanitation and environmental services in urban areas as impact of climate change is likely to adversely affect the urban water resources and sanitation services. In the programme, 18 municipal officials from urban local bodies of Uttar Pradesh participated. The programme was coordinated by

Dr. A K Singh, Assistant Director, RCUES, Lucknow



Dr. Anjali Mishra, Acting Director, flanked by Dr. A.K. Singh, Assistant Director, RCUES Lucknow, in the inaugural session.

## Capacity Building Activities under Ministry of Urban Development, GOI

### Double Entry Accounting System in Urban Administration Process

LUCKNOW

The mounting pressure for public accountability has highlighted the need for reforms at the government accounting and records keeping level. With the changing role of Urban Local Bodies the urgent need is felt for change in the accounting & financial reporting principals & formats. Most of the urban local bodies in India are presently following cash based single entry system of accounting. Under this basis of accounting, transactions are recorded when the related cash receipts or cash payments take place, a statement of assets and liabilities may or may not be prepared. The cash based accounting has its demerits as it fails to meet most of the financial reporting objectives. The limitations of cash based accounting for proper measurement of performance and financial health of the ULBs has led to the adoption of accrual basis of accounting. Further it would also help the ULBs to access the financial market for resources required for development. The conversion from cash based single entry system of accounting to accrual based double entry system of accounting has also been made mandatory reform by Govt.

of India under JNNURM. In view of the above the State Governments have initiated the accounting reform in ULBs of the State.

Keeping in view the importance of the above mentioned subject a one day Training Programme was organized by the Regional Centre, at Lucknow on 5th March 2014.

The main objects of the programme were:

- ◆ To familiarize the participants with the need & importance of Double Entry Accounting System.
- ◆ To acquaint the participants with the provisions of the National DEAS manual and Fixed Asset Valuation Manual.
- ◆ To acquaint the participants with the basic DEAS principals & procedures.

The participants of the training programme consisted of Municipal Officials of Uttar Pradesh. In all 12 participants participated in the programme.

The programme was coordinated by Dr. Rajeev Narayan, Dy. Director, RCUES, Lucknow.

### Municipal Resources Mobilization & Financial Management

LUCKNOW

Local governments in India suffer from fiscal imbalances due to challenges in raising requisite funds to meet their mandated functions. Even though urban local governments should be able to raise revenues to fund public services, at this time, they neither have adequate revenue assignments nor a sufficiently large tax base to generate required revenues. They are, therefore, mostly dependent on intergovernmental transfers from higher levels of government to meet their expenses.

Keeping in view the importance of the above subject, the Regional Centre for Urban & Environmental Studies, Lucknow organized a one day training programme on Municipal

Resource Mobilization & Financial Management in Urban Local Bodies on March 7, 2014 at Lucknow. The objective of the training programme was to sensitize the officials of Urban Local Bodies towards need for mobilization of municipal resources and to discuss on ways and means of enhancing municipal revenue.

The participants included Executive Officers, Tax Officers and accountants from Nagar Palika Parishads and Nagar Panchayats of Uttar Pradesh. In all 11 participants participated in the programme. The programme was coordinated by Dr Alka Singh, Deputy Director, RCUES, Lucknow.

### Benchmarking Urban Services

LUCKNOW

A one day Regional workshop on Benchmarking the Urban Services was organized by the Regional Centre under the auspices of MOUD, GOI on March 11, 2014 at Lucknow with a view to highlight the need, importance and relevance of bench marking in municipal services and to discuss the

procedure, techniques, tools and framework for service level bench marking. Executive Officers from the ULBs of Uttar Pradesh participated in the workshop.

The workshop was co-ordinated by Dr. Urmila Bagga, Senior Expert, RCUES.

## Capacity Building Activities under MoHUPA, GOI (UPA Program)

### National Urban Livelihood Mission

The Ministry of Housing and Urban Poverty Alleviation Government of India launched National Urban Livelihoods Mission to reduce poverty and vulnerability of the urban poor households by enabling them to access gainful self employment and skilled wage employment opportunities, resulting in an appreciable improvement in their livelihoods on a sustainable basis, through building strong grassroots level institutions of the poor. The mission aims at providing shelters equipped with essential services to the urban homeless in a phased manner. In addition, the mission would also address livelihood concerns of the urban street vendors by facilitating access to suitable spaces, institutional credit, social security and skills to the urban street vendors for

accessing emerging market opportunities.

As National Resource Centre under Ministry of Housing & Urban Poverty Alleviation, Government of India, the Centre conducted several capacity building programmes under NULM. The key objectives of the workshop were to sensitize & orient the participants and provide a platform for meeting, interaction and mutual experience sharing for various State/City/ Field level officials concerned with implementation of NULM including bankers in the respective states. The Centre conducted following Workshops under NULM during this quarter in different States:

S.No	Topic	Venue	Date	No. of Participants	Programme Coordinator
1	Implementation of National	Kolkata	Jan. 07, 2014	38	Dr Rajeev Narayan
2	Urban Livelihoods Mission	Kolkata	Jan. 08, 2014	33	Dr Rajeev Narayan
3	Implementation of National	Allahabad	Jan. 13, 2014	55	Dr Alka Singh
4	Urban Livelihoods Mission	Patna	Jan. 16, 2014	33	Dr Anjuli Mishra
5	Social Mobilization	Patna	Jan. 17, 2014	36	Dr Anjuli Mishra
6	Institutional Development and Financial Inclusion	Agra	Jan. 18, 2014	46	Dr Alka Singh
7	Self Employment and Enterprise Management through Skill Development under NULM	Kanpur	Jan. 24, 2014	76	Dr Alka Singh



Dr. B.C.Goel, Retd. Deputy Director, Directorate of Local Bodies, Govt. of U.P. along with faculty of RCUES addressing the participants during the training programme



Shri K.P.Tripathi, Additional Municipal Commissioner Nagar Nigam Agra addressing the participants during the workshop at Agra



Sri P.K.Tiwari, Municipal Commissioner Nagar Nigam Allahabad along with Faculty Members of RCUES Lucknow at the Inaugural Session of the workshop at Allahabad



**Rajiv Awas Yojana**

In pursuance of the vision of Slum Free India, Ministry of Housing & Urban Poverty Alleviation, Government of India, launched Rajiv Awas Yojana to provide housing facilities to slum dwellers in June, 2011. The flagship scheme is in two phases – first the preparatory phase that existed for a period of two years and ended in June, 2013; second the implementation phase for a period of 2013-2022. The schemes aims to achieve vision of Slum Free City by encouraging States/Union Territories to tackle the problem of slums in a definitive manner by a multi-pronged approach focusing on bringing all existing slums within the formal system and enabling them to avail the basic amenities and tackling the shortages of urban land and housing. In view of the growing importance of Training and capacity building of Stakeholders for effective implementation of the scheme, the Ministry Housing & Urban Poverty Alleviation, Government of India has entrusted the task of orientation and training of

concerned officials and people’s representatives of Urban Local governments in 10 states including North Eastern region. During the quarter of Jan-March 2014 the following workshops/training programmes were organized by RCUES, Lucknow.

S.No.	Topic	Venue	Date	No. of participants	Coordinator
1	RAY	Kohima	Jan. 14, 2014	26	Dr Urmila Bagga
2	RAY	Agartala	Jan. 17, 2014	44	Dr AK Singh
3	RAY	Shillong	Jan. 24, 2014	22	Dr AK Singh
4	RAY	Lucknow	Jan. 29-30, 2014	23	Dr Anjali Mishra
5	RAY	Itanagar	Jan. 31, 2014	40	Dr AK Singh
6	RAY	Aizwal	Jan. 30- 31, 2014	21	Dr Rajeev Narayan
7	RAY	Lucknow	Feb. 5- 6, 2014	35	Dr Anjali Mishra



Shri R.K. Majumder, Director, flanked by Dr. Rashmi Das, Deputy Director, Directorate of Urban Development, Govt. of Tripura, addressing the participants



Sri Hmingdailova Khiangte Hon'ble Parliamentary Secretary addressing the participants during the State Level training programme on "Rajiv Awas Yojana" at Aizwal



Pu. R.L Rinawma, Principal Secretary, Dept. of UD & PA, Govt. of Mizoram addressing the participants during the State Level Training Programme on "Rajiv Awas Yojana" at Aizwal



Shri B. Dutta, Director, Department of Urban Affairs, Govt. of Meghalaya, flanked by Shri Himanshu Chandra, Assistant Director, RCUES, addressing the participants

**Capacity Building Activities under Ministry of Housing and Urban Poverty Alleviation, GOI (NBO Division)**

The RCUES, Lucknow organized various State Level Workshops under USHA on Collection of Housing & Building Construction Statistics & Building Material Prices & Wages of Labour & Development of Housing Start-up-Index (HSUI). These programme were held under the auspices of the Ministry of Housing and Urban Poverty Alleviation, Govt. of India. The objectives of these workshops were:

- ◆ To discuss the Importance & Objectives of Housing & Building Construction Statistics.
- ◆ To acquaint the participants with HSUI & BRIKS and their formats.
- ◆ Hands - on training on e-tool for BRIKS & HSUI.

The details of the programmes are as follows:

S. No.	Topic	Venue	Date	No. of participants	Coordinator
1	BRIKS & HSUI	Agartala	Jan. 18, 2014	62	Dr AK Singh
2	BRIKS & HSUI	Shillong	Jan. 20, 2014	22	Dr Urmila Bagga
3	BRIKS & HSUI	Imphal	Jan. 22, 2014	37	Dr AK Singh
4	BRIKS & HSUI	Guwahati	Jan. 22, 2014	77	Dr Urmila Bagga
5	BRIKS & HSUI	Mizoram	Jan. 29, 2014	35	Dr Rajeev Narayan
6	BRIKS & HSUI	Itanagar	Feb. 03, 2014	36	Dr AK Singh
7	BRIKS & HSUI	Lucknow	Feb. 10, 2014	24	Dr AK Singh
8	BRIKS & HSUI	Lucknow	Feb. 13, 2014	45	Dr AK Singh
9	BRIKS & HSUI	Bhubneswar	Feb. 15, 2014	35	Dr Urmila Bagga
10	BRIKS & HSUI	Ranchi	Feb. 18, 2014	29	Dr Rajeev Narayan
11	BRIKS & HSUI	Ranchi	Feb. 19, 2014	33	Dr Rajeev Narayan
12	BRIKS & HSUI	Patna	Feb. 20, 2014	50	Dr AK Singh
13	BRIKS & HSUI	Patna	Feb. 21-2014	41	Dr AK Singh
14	BRIKS & HSUI	Nainital	Mar. 13, 2014	21	Dr AK Singh



Chief Guest Sri A. Marbaniang, Director, Directorate of Economics & Statistics, Govt. of Meghalaya addressing the participants at the inaugural session at Shillong



Chief Guest Sri Pranjal K. Bhattacharjya, Director, Directorate of Economics & Statistics, Govt. of Assam addressing the participants at the Inaugural Session at Guwahati



## Gallery



Sri Parth Sarthi Chaudhury, Director, Directorate of Economics & Statistics, Govt. of Jharkhand, lighting the inaugural lamp at the State Level Workshop Under USHA on BRIKS & HSUI at Ranchi on 19th Feb, 2014



Participants at the two day State level training programme on RAY in Lucknow, from 29- 30 Jan, 2014



Mr. P. K. Gupta, Jt. Director, Directorate of Economics & Statistics, Govt. of Jharkhand lighting the inaugural lamp at the State Level Workshop Under USHA on BRIKS & HSUI at Ranchi on 18th Feb, 2014



Sri Surajit Bose, Joint Director, SUDA, West Bengal delivering the inaugural address in Kolkatta during one day State Level Training Programme on NULM on 7th January, 2014



Participants at State level training programme on RAY at Itanagar on 31st January, 2014



Chief Guest Sri Zhaleo Rio, IAS (Retd.), Hon'ble Parliamentary Secretary, Urban Development Dept Govt. of Nagaland, Dr. Toshimanen Ozukum, Addl. Director, MAC and Nodal Officer, RAY, Govt. of Nagaland & Dr. Urmila Bagga, Senior Expert and Workshop Coordinator at the Inaugural Session of Rajiv Awas Yojana in Kohima on 14th January, 2014



Pu. Saihlira, Director, Directorate of Economics & Statistics, Govt. of Mizoram delivering the inaugural address during the State Level Workshop Under USHA on BRIKS & HSUI at Aizwal on 29th January, 2014



Sri M. N. Pradhan, Director, SUDA, West Bengal delivering the inaugural address in Kolkatta during one day State Level Training Programme on NULM on 8th January, 2014

### International Training

Training program on the aspects of Public Health Engineering (PHE) was hosted by Japan International Cooperation Agency (JICA) in Tokyo from 3rd to 7th February 2014. The PHE training program was organized as part of the collaboration between the Ministry of Urban Development and the Government of Japan under the implementing organization Tokyo Engineering Consultants Co. Ltd. Tokyo, Japan. This training was a capacity building exercise for the trainers on PHE focusing on wastewater treatment and reuse technologies. The delegates visited a WTP plant on wastewater treatment and reuse technologies facilities in Japan as part of the training. Nine participants were nominated by the MoUD for training from various training institutes of India. Two faculty members, Dr Alka Singh, Deputy Director and Mr Himanshu Chandra, Assistant Director from RCUES, Lucknow participated in the training.



Participants at the training

### News From the States

**Best City Award (BCA)** is the first and biggest award show which recognizes the Best Cities to live in India. The objective behind these awards is to reward cities that offer best living standard for an urban consumer, thus act as a benchmark for other Cities to adopt a more integrated approach towards urban development. This year the Best City awards were bagged by: Indore in Madhya Pradesh; Noida in Uttar Pradesh & Patna in Bihar. Noida was also awarded as the Best City in the Housing category.

**MP Urban administration and Development Department (UADD) bags Gold Award** during 'National E-governance' conference held in Kochi. UADD secured the award under category of "innovative use of technology in e-governance," for the implementation of automated building permission approval system (ABPAS) in Indore Municipal Corporation (IMC).

## Regional Centre for Urban & Environmental Studies, Lucknow

**rcues**

Upcoming Campus of RCUES, at  
Indira Nagar, Lucknow



Adjacent Registrar's Office,  
University of Lucknow- 226007  
[www.rcueslucknow.org](http://www.rcueslucknow.org)  
Phone: 0522-2740108, 2740165  
E-mail: [directorrcueslko@gmail.com](mailto:directorrcueslko@gmail.com)



Regional Centre for Urban & Environmental Studies (RCUES) Lucknow is one of the four centers in the country established by the Ministry of Urban Development, Government of India. It was established at University of Lucknow in the year 1968. The Regional Centre provides expertise, advisory services & consultancy services to urban local bodies and Parastatals.