

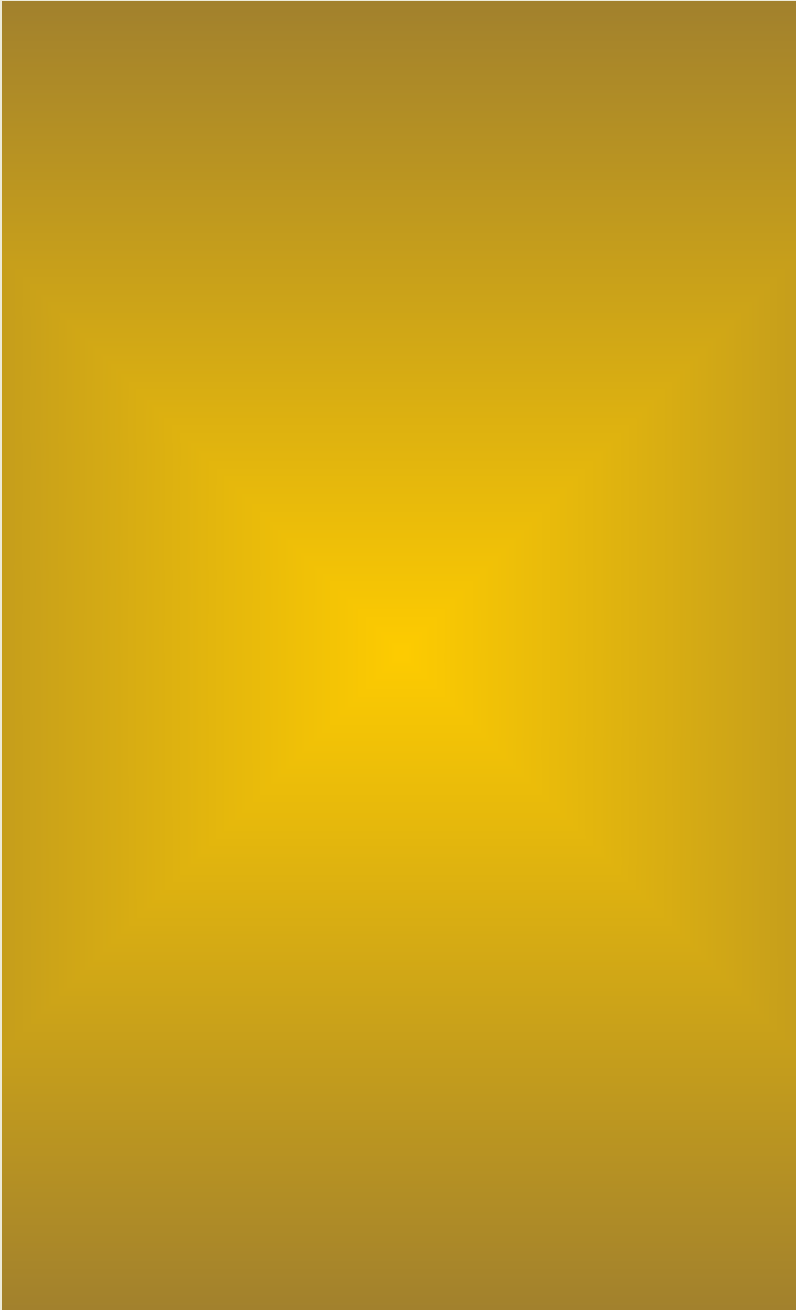
Regional Centre for Urban & Environmental Studies
(Estd. by Ministry of Urban Development, Government of India)

Annual report

2013-14

Table of Contents

Preface	(i-iii)
Overview & Strategy	(v-vii)
About Us	(ix-xiv)
I : Academic Activities	3-16
• Capacity building activities under Ministry of Urban Development, GOI	
• Capacity building activities under Ministry of Housing & Urban Poverty Alleviation, GOI	
II : Publications	17-18
III : Staff Positions and Fiscal Performance	19-26



Preface



From the Desk of the Chairman

Regional Centre for Urban and Environmental Studies was created with an aim to boost the implementation of Urban policies by the Ministry of Urban Development. Keeping in view the liberalization of economy, rapid economic growth, devolution of funds and functions to Panchayat and Urban Local Body level, enhanced transparency, globalization etc., the Regional Centre continues to play a vital role in nurturing the Human Resource in the urban municipal sector.

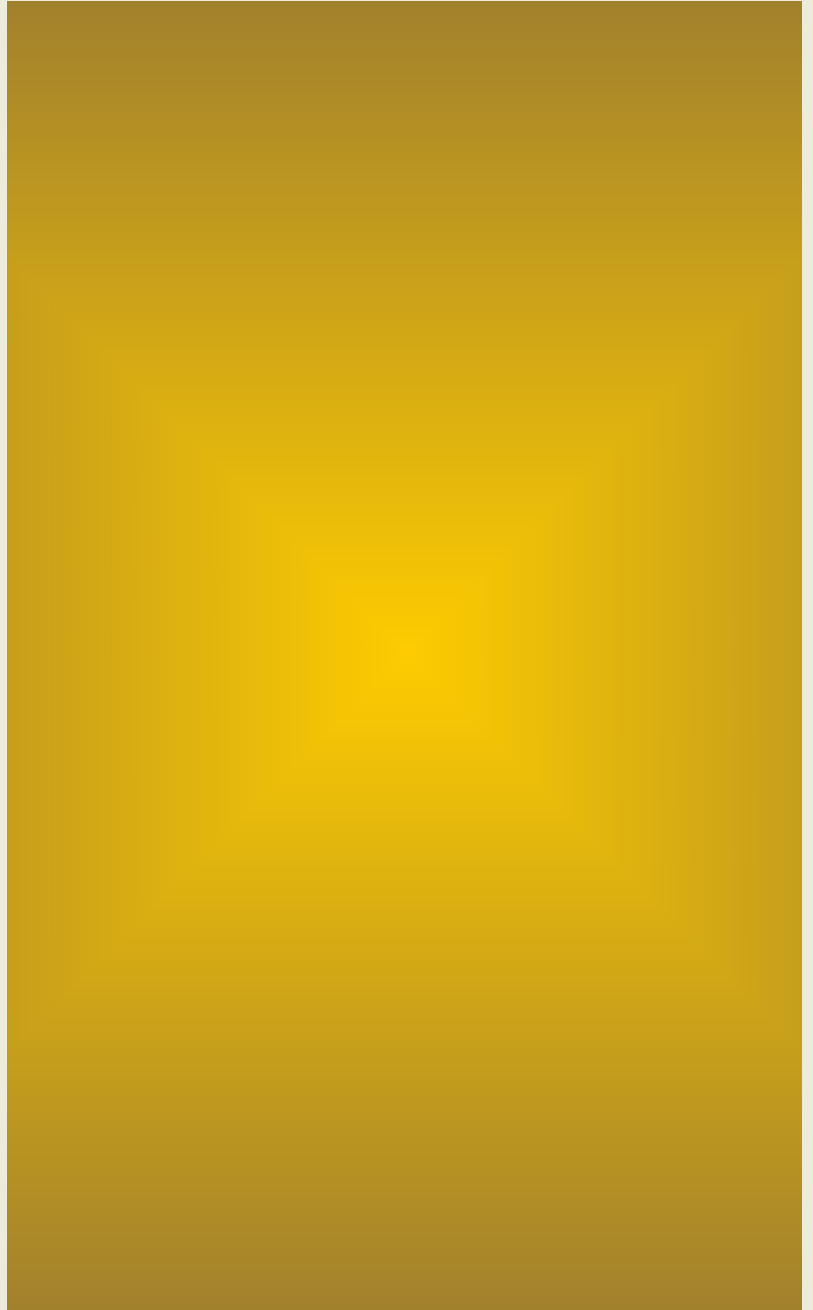
The year round activity of RCUES Lucknow includes an exhaustive preparation of Training Plans at Regional, State and City levels which are approved by the Ministry of Urban Development and the Ministry of Housing and Urban Poverty Alleviation for officials under its cadre. This report comprises of the detailed plan and its implementation for the year 2013-14 encompassing training needs of all grades of officials/ officers which, inter alia, provides for nomination of officials for the training programmes being conducted by the Centre. The core team of RCUES Lucknow also engages in preparation of training material, research projects, conferences, symposiums as well as conducting in-house training courses.

I'm delighted to share that an action plan for comprehensive capacity building of municipal functionaries and elected representatives of ULBs/parastatal agencies in the State of Uttar Pradesh has also been approved by the Ministry of Urban Development, Government of India. Under the approved CB plan, an Urban Management Centre (UMC) equipped with six sector specialist shall be established at RCUES. The process of constituting UMC at the center is due to begin shortly.

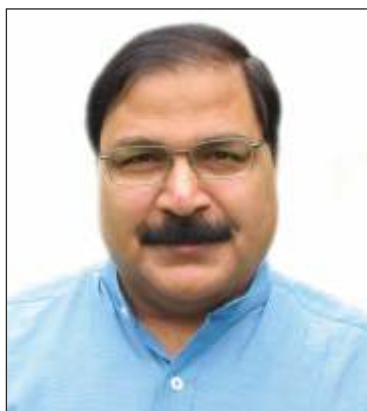
I appreciate the efforts made by team RCUES as also by associated members in preparation of the said training plan.

(Prof. S. B. Nimse)

Chairman, Governing Council, RCUES
& Vice Chancellor, Lucknow University



Overview & Strategy



From the Desk of The Director

Dear Readers,

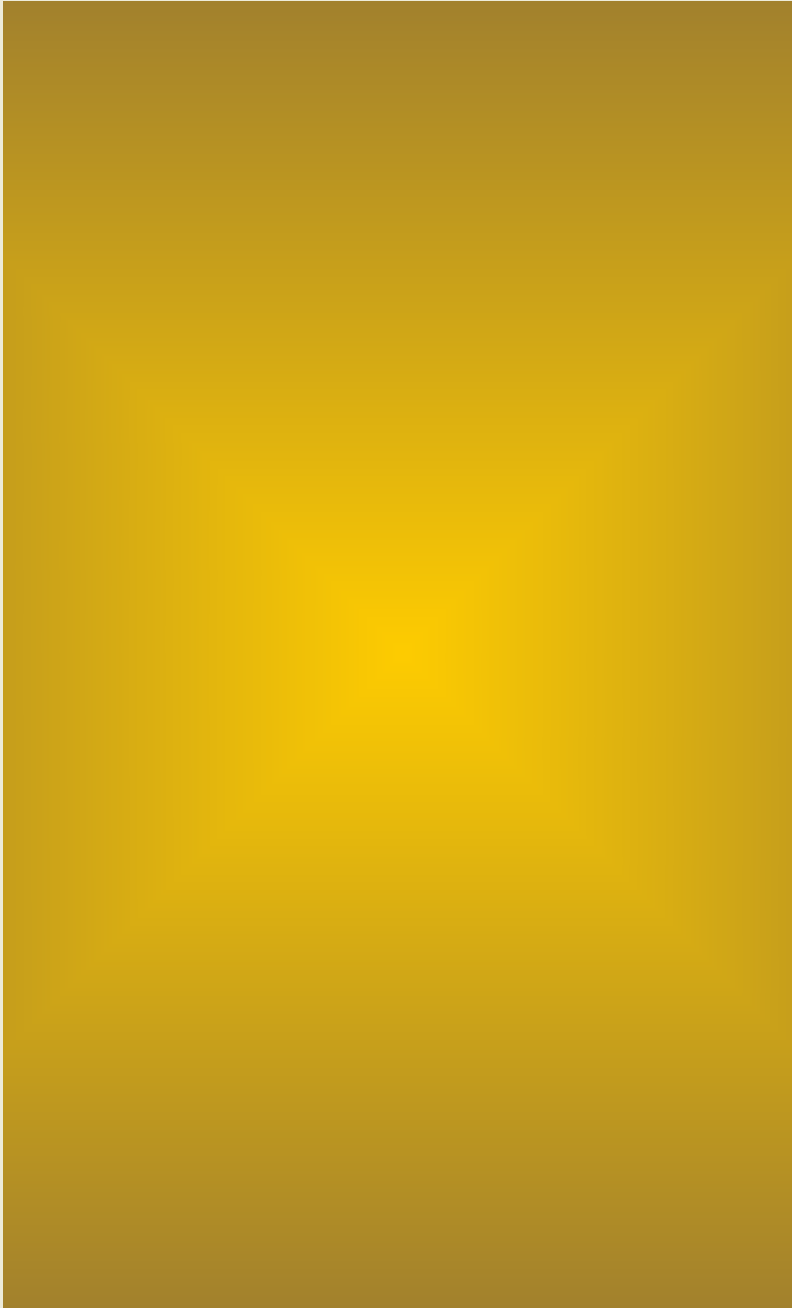
This Report of the Regional Centre for Urban and Environmental Studies, Lucknow pertains to the year 2013-2014. The Centre undertakes research studies on substantive and contemporary issues as well as in specific areas as sponsored by the governments, national organisations and international agencies. It also offers advisory services in operational areas to the government and public sector enterprises. Besides, it conducts a large number of training programmes on various aspects and facets of the study and practice of urban development, administration and management. It also publishes and disseminates literature on urban development including journals. The Report presents the activities of the Centre under the following major heads:

- Training
- Associational and Outreach Activities.

Besides, the Report includes information about the :

- Centre's Finances
- Academic Events like Seminars, Conferences, Lectures, Visits, etc.
- Academic Activities of Faculty
- Administrative and Personnel Matters.

Prof. Nishith Rai
(Director, RCUES Lucknow)



About Us

Our Advisors

Chairman, National
Review & Monitoring



Dr. Sudhir Krishna
Secretary
Ministry of Urban Development
Govt of India

Chairman, Advisory
Committee



Sri C. B. Paliwal
Principal Secretary
Dept. of Urban Development
Govt of Uttar Pradesh

Chairman, Governing
Council



Prof. S. B. Nimse
Vice Chancellor
Lucknow University

Regional Centre for urban & environmental studies, Lucknow



Regional Centre for Urban & Environmental Studies (RCUES) Lucknow is one of the premiere Centres in the country in the field of Urban Management. It was established by the Ministry of Urban Development, Government of India at University of Lucknow in the year 1968. The Centre provides expertise, advisory services & consultancy services to urban local bodies and parastatals.

Vision

To help urban local governments in achieving sustainable Urban Development through a holistic approach.

Mission

Building Capacities Of City Managers For Achieving Good Urban Governance.

Target Group

The RCUES provides training and consultancy services to functionaries of Urban Local Bodies which includes the Executives and Elected Representatives and Officials of Parastatals.

Major Activities

The key activities of the Centre include Training, Capacity Building, Research, Consultancy Services and Dissemination of Information relating to the Urban Sector.

Resources

The RCUES has a core team of qualified and experienced faculty and researchers. Programme based dedicated cells are also created from time to time. Expert team comprising of trainers on Social Development, Urban Planning, Municipal Finance, Livelihood Development, GIS/MIS are created from time to time to cater the needs of various programmes organized under the auspices of the Ministry of Housing & Urban Poverty Alleviation, Govt. of India.



Management

The RCUES is managed by three high level committees. The administrative and financial policy decision making powers vests in the Governing Council, headed by the Vice Chancellor, Lucknow University, Lucknow, while academic activities are guided by an Advisory Committee, constituted under the Chairmanship of the Principal Secretary to Government of Uttar Pradesh in the Department of Urban Development

National Review & Monitoring Committee

1	Secretary, Ministry of Urban Development, Govt. of India	Chairman
2	Vice Chancellor, Lucknow University, Lucknow.	Member
3	Joint Secretary Ministry of Urban Development, Govt. of India	Member
4	Joint Secretary Ministry of Housing & Urban Poverty Alleviation, Govt. of India	Member
5	Secretary, UD State Governments, (8 State Govts.)	Member
6	Director, RCUES, Lucknow	Member
7	Director, Local Self Government, Ministry of Urban Development, Govt. of India	Member

Governing Council

1	Vice Chancellor, Lucknow University,	Chairman
2	Secretary, Ministry of Urban Development, Govt. of India, Or his nominee.	Member
3	Principal Secretary, Department of Urban Development & Housing, Govt. of Bihar	Member
4	Principal Secretary, Department of Urban Development, Govt. of Uttarakhand	Member
5	Faculty Member, RCUES, Lucknow (on rotation)	Member
6	Director, RCUES, Lucknow	Member Secretary

Advisory Committee

1	Principal Secretary, Department of Urban Development, Govt. of Uttar Pradesh	Chairman
2	Commissioner-cum-Principal Secretary, Department of Urban & Housing Development, Govt. of Bihar	Member
3	Principal Secretary, Department of Urban Administration & Development, Govt. of Madhya Pradesh	Member
4	Principal Secretary, Department of Urban Development, Govt. of Uttarakhand	Member
5	Commissioner-cum-Principal Secretary, Department of Housing & Urban Development, Govt. of Odisha	Member
6	Secretary, Department of Urban Development, Govt. of Jharkhand	Member
7	Principal Secretary, Department of Urban Development, Govt. of Chattisgarh	Member
8	Director, NIUA, New Delhi	Member
9	Director, HSMI, New Delhi	Member
10	Director, RCUES, Lucknow	Member
11	Faculty Member RCUES, Lucknow (by rotation)	Member/Secretary

Faculty

The RCUES has a core team of qualified and experienced faculty and researchers. Programme based dedicated cells are also created from time to time. Expert team comprising of trainers on Social Development, Urban Planning, Municipal Finance, Livelihood Development, GIS/MIS are created from time to time to cater the needs of various programmes organized under the auspices of the Ministry of Housing & Urban Poverty Alleviation, Govt. of India.

Our Core Team



Mr. A. K. Gupta
Additional Director



Dr. Anjuli Mishra
Joint Director



Dr. Rajeev Narayan
Deputy Director



Dr. Alka Singh
Deputy Director



Dr. A. K. Singh
Assistant Director



Mr. Himanshu Chandra
Assistant Director



Dr. Nasruddin
Assistant Director



Mr. Ajit Kumar Mishra
Assistant Director



Dr. Urmila Bagga
Senior Expert



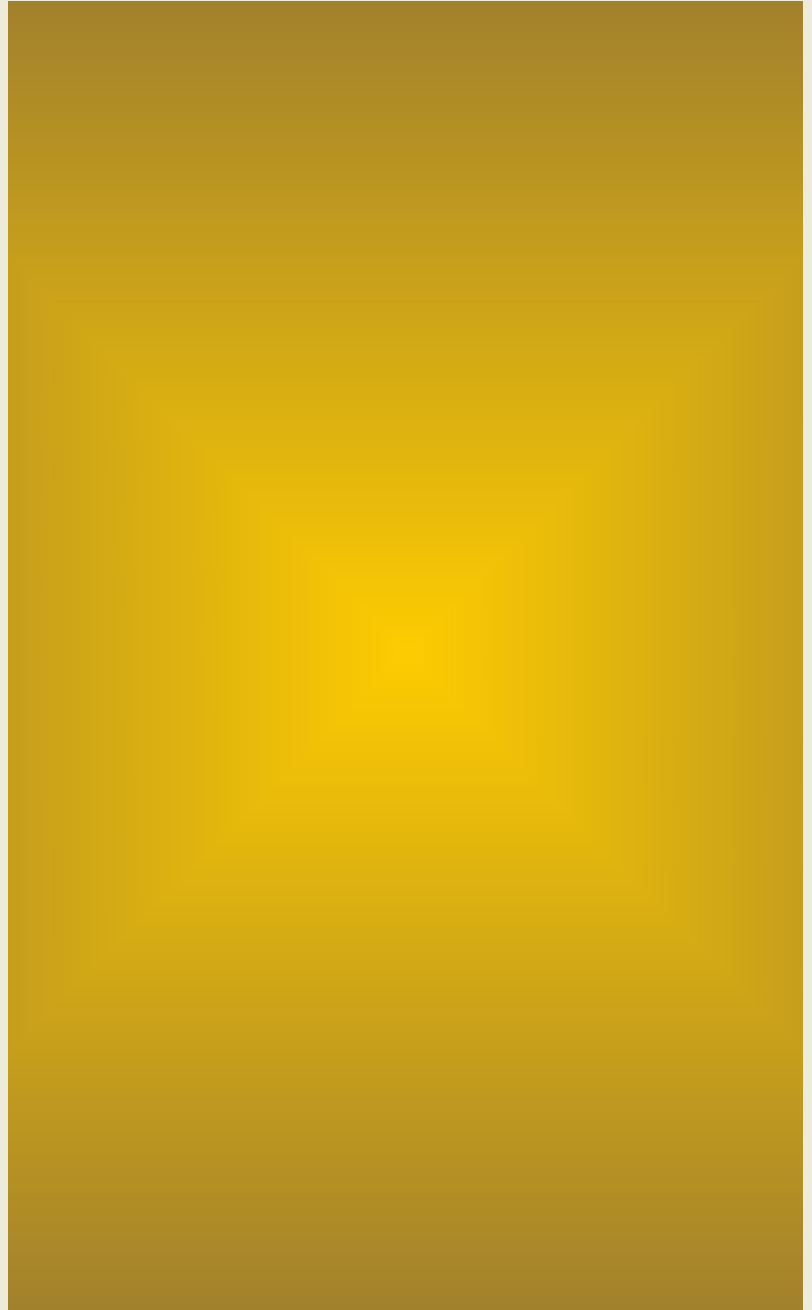
Mrs. Rachna Rishi
Publication Officer

Achievements

- Designated as Nodal Resource Centre for Training and Capacity Building under Poverty Alleviation by Ministry of Housing & Urban Poverty Alleviation, Government of India, for the States of Uttar Pradesh, Madhya Pradesh, Bihar, Odisha, Uttarakhand, Jharkhand, Chhattisgarh, West Bengal, Arunachal Pradesh, Assam, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura.
- Designated as National Resource Centre for Urban Statistics for HR and Assessment (USHA) for 16 states by the Ministry of Housing & Urban Poverty Alleviation, Govt. of India.
- Capacity Building Workshops at National & State Levels sensitizing officials engaged in urban development.
- Supported Ministry of Urban Development, Govt. of India after the launch of JNNURM by conducting Regional and State Level Workshops on JNNURM.
- Established Programme Management Unit (PMU) under JNNURM in the state of Chhattisgarh
- Prepared City Development Plans and Detailed Project Reports under JNNURM for different cities.
- Appointed as one of the Regional Hubs under JNNURM Ministry of Urban Development, Govt. of India for conduct of Rapid Training Programmes on Project Planning and Implementation under JNNURM in 32 missions cities of 17 States of India.
- Implemented GOI-UNDP's Capacity Building for Decentralized Urban Governance Project in U.P.
- Implemented Capacity Development for Urban Governance under URIF Project.
- Implemented Training, Capacity Building & IEC Activities on Solid Waste Management at the towns of Ghaziabad, Bareilly, Rampur.
- Designated as State Training Institute for the States of M.P. Chhattisgarh, Bihar and Jharkhand.
- Nodal implementing agency for the UNDP sponsored project on Capacity Development for Urban Governance in U.P. & Uttarakhand.

Funding

The RCUES receives regular financial support in the form of non-plan grants-in –aid from the Ministry of Urban Development, Government of India. The state government of Uttar Pradesh, a major beneficiary , also gives regular non-plan grant. The other constituent States also extend help occasionally.



I : Academic
Activities

Major Activities of the Centre During 2013-14

CAPACITY BUILDING ACTIVITIES UNDER MINISTRY OF URBAN DEVELOPMENT, GOVERNMENT OF INDIA

RCUES Lucknow conducted 17 Capacity Building activities under the Ministry of Urban Development, Govt. of India.
The total no. of participants who benefitted from the trainings are 559.

Training Programme on Integrated Municipal Solid Waste Management

Population growth and the rapid pace of urbanization pose many environmental challenges for large cities. One of these is solid waste management. The issue of solid waste management has been given significant importance in both the schemes of JNNURM and UIDSSMT. Most of the cities have developed detailed project reports for effective management of solid waste but it has been observed in some cases that due to lack of awareness and initiative the sanctioned projects are not being implemented effectively. Keeping in view the importance of the above subject the Centre organised a three day training programme on “Integrated Municipal Solid Waste Management” for the officials of urban local bodies at Lucknow from 21st to 23rd May 2013.

The main objectives of the Training programme were:

- To familiarize the participants with the concept of solid waste management;
- To highlight the problems of solid waste management and their impact on urban environment;
- To access the scope of public private partnership in urban waste management;
- To highlight the legal & policy issues related to solid waste management; and
- To discuss the waste management options and best practices.

The participants of the training programme consisted of Municipal Officials of Uttar Pradesh. In all there were 24 participants in the



Dr. S B Nimse, Vice Chancellor, University of Lucknow and Chairman, Governing Council of RCUES Lucknow along with RCUES Director Prof Nishith Rai.

programme. The programme ended with a valedictory session which was chaired by Dr. S B Nimse, Vice Chancellor, University of Lucknow. Dr. Nimse is also the Chairman of the Governing Council of RCUES Lucknow. The chief guest was welcomed by Prof. Nishith Rai, Director, RCUES Lucknow. He briefed the Vice Chancellor about the activities of the centre.

Dr. Nimse, in his address focused on the topic of waste management and said that if the present trend continues the city administration would collapse. Hence it is the need of the time to improve the urban local bodies. The training was coordinated by Dr. Rajeev Narayan, Deputy Director, RCUES, Lucknow.



Dr. S B Nimse, Vice Chancellor, University of Lucknow during the valedictory function

Training Programme on Water Supply and Sewerage Management

The provision of urban infrastructure and services has been the primary function of the government; however, in the absence of resources, fragmentation of schemes, and increasing stress on services, the quality of basic services has been declining. Keeping this in view, a three-day training programme on 'Water Supply and Sewerage Management' was organized by the Regional Centre for Urban and Environmental Studies, Lucknow on July 4-6, 2013 at Lucknow. The workshop was inaugurated by Shri Anoop Kumar Srivastava, Joint Director, Directorate of Local Bodies, Government of Uttar Pradesh, Lucknow. Twenty two municipal officials including Executive Officers, Junior Engineers and Sub Engineers from Municipal Corporations and



Shri A.K. Srivastava (Fourth from Left), Joint Director, Directorate of Urban Local Bodies, Govt. of U.P. along with Sri R. N. Goel, GM, C&DS, UP Jal Nigam; Prof. Nishith Rai, Director and Faculty, RCUES, addressing the participants

Nagar Palika Parishads participated in the workshop. Dr. A.K. Singh, Assistant Director, with active support of Dr. Anjuli Mishra, Deputy, Director, RCUES, Lucknow, coordinated the Workshop.

Workshop on Property Tax Management

With the object of strengthening the system of property tax administration in Urban Local Bodies, the RCUES, Lucknow organized a three day workshop on "Property Tax Management" for the Senior Municipal Officials from July 8-10, 2013 at Lucknow. The programme focused on present scenario relating to municipal finances, with special reference to property taxes. The discussions focused on the experiences of property tax reforms & self-assessment scheme of property tax in various states and on issues relating to various aspects like Valuation, Assessment, Imposition, Collection and Recovery of Property Tax. The programme was attended by twenty three senior officers, which included Executive Officers of Nagar Palika Parishads, Chief Tax Assessment Officers of Nagar Nigams and Tax Assessment Officers of Nagar Palika Parishads of U.P. The training programme was inaugurated by Prof. Nishith Rai, Director, RCUES, Lucknow and Dr. Anjuli Mishra, Deputy Director, with active support of Dr. A. K. Singh, Assistant Director, RCUES, Lucknow, coordinated the programme.

Regional Training Programme on Preparation and Implementation of CDP & DPR

The Centre organized a two day Regional Training Programme on 'Preparation and Implementation of CDP & DPR' at Ranchi on 23rd – 24th August, 2013. The objective of the Training Programme was to provide training to the Administrators, Planners, Engineers & other technical staff of the Urban Local Bodies & Parastatals on Preparation and Implementation



Sri John Pascal Lakra, Director, Municipal Administration, Urban Development Department, Government of Jharkhand lighting the inaugural lamp along with faculty and participants.

of CDP & DPR so that the Urban Local Bodies are able to successfully implement the sanctioned DPRs /Schemes and also are able to sustain the development. 20 participants consisting of Municipal Officials from Jharkhand and Manipur participated in the programme. The programme was inaugurated by Sri John Pascal Lakra, Director, Municipal Administration, Urban Development Department, Government of Jharkhand. The programme was coordinated by Dr. Rajeev Narayan, Dy. Director, RCUES, Lucknow.

Training Programme on City Sanitation Plan and its Implementation

With a view to examine the issues & challenges in implementation of the National Policy on Urban Sanitation, the RCUES, Lucknow organized a two day training programme on 'City Sanitation Plan and its Implementation' for the officials of



Dr. S. Siddharth, Secretary, Department of Urban Development and Housing, Govt. of Bihar, addressing the participants.

urban local governments at Patna on September 11-12, 2013. The deliberations during the workshop focused on examining the issues and challenges in implementation of the national policy on urban sanitation. The major criteria and structure for preparing City Sanitation Plans were also discussed. The programme also highlighted & examined the best practices and scope of community participation in urban sanitation. The Workshop was inaugurated by Dr. S. Siddharth, Secretary, Dept. of Urban Dev. & Housing, Govt. of Bihar and the valedictory session was chaired by Sri Jai Singh, Joint Secretary and Director (BUDA), Dept. of Urban Development and Housing, Government of Bihar. Thirty Eight participants from Bihar, Jharkhand and Uttar Pradesh attended the workshop. Dr. Anjuli Mishra, Joint Director, with the active support of Dr. A. K. Singh, Assistant Director, RCUES, Lucknow, coordinated the programme.

Workshop on Engendering Urban Administration and Gender Budgeting

Gender Budgeting has emerged as an important instrument for gender mainstreaming and women empowerment across the globe and has gained prominence in the policy circles in the recent years. To address upon the relevance of the above subject, the Centre organized a two day workshop on 'Engendering Urban Administration and Gender Budgeting' for the officials of urban local governments on

September 26 & 27, 2013 at Lucknow. The Programme was inaugurated by Prof. Nishith Rai Director, RCUES, Lucknow. Eighteen municipal officials, which included the Executive Officers of Nagar Palika Parishads participated in the programme. Dr. A.K. Singh, Assistant Director, with active support of Dr. Anjuli Mishra, Deputy, Director, RCUES, Lucknow, coordinated the Workshop.

Regional Workshop on RTI & Public Disclosure Law

The Regional Centre organized a Regional Workshop on RTI & Public Disclosure Law on September 20 -21, 2013 at Gangtok. The key objectives of the workshop were to sensitize the PIOs / APIOs / other officials with salient



Sri Shakti Singh, Dy. Mayor, Gangtok Municipal Corporation, inaugurating the workshop

features of RTI & Public Disclosure Law and highlight the implementation issues & challenges in the implementation of Act across the country and suggest the appropriate solution to the problems. The workshop was inaugurated by Sri Shakti Singh, Dy. Mayor, Gangtok Municipal Corporation. Thirty one participants including Jt. Director, Supdt. Engineers, Dy. Secretary and elected representatives from the ULBs in the state of Uttar Pradesh and Sikkim participated in the workshop. The workshop was coordinated by Dr. Urmila Bagga, Senior Expert, RCUES, Lucknow.

Workshop on Managing Disasters in Urban Areas

A workshop on “Managing Disasters in Urban Areas” was organized by RCUES, Lucknow on Nov. 12-13, 2013 at Rudraprayag, Uttarakhand.



Dr. Anjuli Mishra, Jt. Director, RCUES, Sri R. P. Nautiyal, Chairman, Rudraprayag Nagar Palika, Prof. M. S. Panwar, HNB Garhwal University, Srinagar, Ms. Meera Kaintura, District Disaster Management Officer, Rudraprayag and Dr. A. K. Singh, Asst. Director, RCUES sitting (right to left) on the dais

Main objectives of the training programme was to review the status, situation, dimensions and trends of disasters and their impact on development and to discuss the policy perspective and government intervention in prevention, mitigation, preparedness, relief, rehabilitation and reconstruction of disaster affected persons and areas. In all 37 Municipal Officials, Elected Representatives and other stakeholders actively participated in the programme. The workshop was coordinated by Dr. Anjuli Mishra, Joint Director, with active support of Dr. A. K. Singh, Assistant Director, RCUES, Lucknow.

Orientation Workshop for Elected Representatives of Uttar Pradesh

A State Level Orientation Workshop was organised on Nov. 13 in Lucknow for the Chairpersons of Nagarpalika Parishads and Nagar Panchayats of U.P. for sensitizing them on various Urban Development schemes including Poverty Alleviation Schemes. Chief Guest,



Chief Guest Sri Mohd. Azam Khan, Hon'ble Minister for Urban Development, Urban Employment & Poverty Alleviation Dept., Govt. of UP; along with Sri. Chitrnanjan Swaroop, Minister of State for Urban Development; UP; and Sri Nishith Rai, Director, RCUES Lucknow lighting the inaugural lamp.

Sri Mohd Azam Khan, Hon'ble Minister for Urban Development, Urban Employment & Poverty Alleviation Dept., Govt. of U.P., along with Sri Chitrnanjan Swaroop, Minister of State for Urban Development, U.P. and Sri Nishith Rai, Director, RCUES Lucknow inaugurated the programme. Smt. Anita Meshram, Secretary, Dept. of Urban Development, Govt. of UP and Sri. S P Singh, Special Secretary, Dept. of Urban Development, Govt. of UP also graced the event. The workshop was co-ordinated by Dr. Alka Singh, Dy. Director, RCUES Lucknow.

Regional Training Programme on Smart City & Sustainable Development

A two day Regional Training Programme on "Smart City & Sustainable Development" was organized on 17th -18th Dec at Ranchi. The objective of the Training Programme was to train the Municipal Commissioners/Astt. Municipal Commissioners, Executive Officers, Municipal Engineers & other technical staff of the Urban Local Bodies & Parastatal on Planning for SMART Cities, use of technology and sustenance of initiatives. The participants of the training programme consisted of Municipal Officials of Jharkhand. In all 32 participants and 6 State Govt. officials participated in the programme. Chief Guest Sri John Pascal Lakra, Director, Municipal Administration UDD,,



Sri John Pascal Lakra, Director, Municipal Administration, Urban Development Department, Government of Jharkhand lighting the inaugural lamp along with faculty and participants.

Govt. of Jharkhand laid emphasis on sustenance of initiatives and role of PPPs. The programme was coordinated by Dr. Rajeev Narayan, Dy. Director, RCUES, Lucknow assisted by Dr. Nasruddin, Asst. Director.

Regional Workshop on Public Private Partnership in Urban Infrastructure Projects

A one day Regional Workshop on Public Private Partnership in Urban Infrastructure Projects was organized by the Regional Centre under the auspices of MOUD, GOI on Dec. 28, 2013 at Bhubaneswar with a view to understand the relevance, principles, advantages /disadvantages of the PPP approach, life-cycle and management process of urban infrastructure projects and risk management tools for risk allocation between



Chief Guest (second from left) Sri Bikash Mohapatra, PD - cum -Jt. Secretary, Dept. Of Housing & Urban Development, Govt. of Odisha at the inaugural session

partners and effective risk monitoring. The workshop was inaugurated by Sri Bikash Mohapatra, PD – cum -Jt. Secretary, Dept. Of Housing & Urban Development, Govt. of Odisha. A total of 48 participants including senior technical and administrative officials from Municipal Corporations, Development Authorities, Odisha Water Supply & Sewerage Board, JICA, Finance and Law Departments, SUDA, Director Town Planning, Specialists, Environmental Officer etc. participated in the workshop. The workshop was co-ordinated by Dr.Urmila Bagga, Senior Expert, RCUES, Lucknow.

Training Programme on Social Audit of Urban Development Projects

Social audit is a tool through which government departments can plan, manage and measure non-financial activities and monitor both internal and external consequences of the departments social and commercial operations. Social audit gives an understanding of the administrative system from the perspective of the vast majority of the people in the society for whom the very institutional/ administrative system is being promoted and legitimized. Social audit of administration means understanding the administrative system and its internal dynamics from the angle of what they mean for the vast majority of the people, who are not essentially a part of the state or its machinery or the ruling class of the day, for whom they are meant to work. Social audit is an innovative mechanism which can create the enabling conditions for public accountability. However, without an aware and demanding civil society, it would be difficult to make social audit work at the field level. Hence a one day training programme on “Social Audit of Urban Development Projects” was organized by the Regional Centre for Urban and Environmental Studies (RCUES), Lucknow for the senior municipal officials of Bihar on January 18, 2014 at Patna. The programme was attended by thirty six senior officers of Department of Urban Development & Housing, Nagar Nigams and Nagar Palika Parishads of Bihar. The course was

coordinated by Dr. Anjuli Mishra, Joint Director with the support of Dr. Nasruddin, Assistant Director, RCUES.

Training Programme on Community Participation in Urban Administration



Shri Bhaktaraj Singh, Additional Secretary, MAHUD and Deputy Secretary, MUDA, Govt. of Manipur

One day training programme on ‘Community Participation in Urban Administration’ was organized for the officials and public representatives of urban local bodies in Imphal on 21st January, 2014. The programme was inaugurated by Shri Bhaktaraj Singh, Additional Secretary, MAHUD and Deputy Secretary, MUDA, Government of Manipur. In his inaugural address, Shri Singh highlighted the need and importance of community participation in effective implementation of urban development programmes and schemes. He said that the role of Community Organizers is important in the context of growing urban population and poverty alleviation. In the programme, 41 municipal officials, people’s representatives from MUDA, MAHUD, & Urban Local Bodies of Manipur participated. The programme was coordinated by Dr .A K Singh, Assistant Director, RCUES, Lucknow.

Training Programme on Climate Change and Its Impact on Urban Environment

One day training programme on ‘Climate Change and It's Impact on Urban Environment’ was

organized for the officials and elected representatives of urban local governments in Lucknow on 28th Feb, 2014. The programme was inaugurated by Dr. Anjuli Mishra, Acting Director, RCUES, Lucknow. In her inaugural address, she highlighted the need and importance of the subject of training programme. She said that the climate change has vast impact on urban environment and therefore, urban local governments need to evolve suitable strategies for addressing the issues of climate change in the context of urban environment. She also highlighted the imperative need of improving the sanitation and environmental services in urban areas as impact of climate change is likely to adversely affect the urban water resources and sanitation services. In the programme, 18 municipal officials and elected representatives from urban local bodies of Uttar Pradesh participated. The programme was coordinated by Dr. A K Singh, Assistant Director, RCUES, Lucknow.

Training Programme on Double Entry Accounting System in Urban Administration

The mounting pressure for public accountability has highlighted the need for reforms at the government accounting and records keeping level. With the changing role of Urban Local Bodies the urgent need is felt for change in the accounting & financial reporting principals & formats. Most of the urban local bodies in India are presently following cash based single entry system of accounting. Under this system of accounting, transactions are recorded when the related cash receipts or cash payments take place, a statement of assets and liabilities may or may not be prepared. The cash based accounting has its demerits as it fails to meet most of the financial reporting objectives. The limitations of cash based accounting for proper measurement of performance and financial health of the ULBs has led to the adoption of accrual basis of accounting. Further it would also help the ULBs to access the financial market for resources required for development. The conversion from

cash based single entry system of accounting to accrual based double entry system of accounting has also been made mandatory by Govt. of India under JNNURM. In view of the above the State Governments have initiated the accounting reform in ULBs of the State. Keeping in view the importance of the above mentioned subject a one day Training Programme was organized by the Regional Centre, at Lucknow on 5th March 2014. The main objectives of the programme were:

- To familiarize the participants with the need & importance of Double Entry Accounting System.
- To acquaint the participants with the provisions of the National DEAS Manual and Fixed Asset Valuation Manual.
- To acquaint the participants with the basic DEAS principles & procedures.

The participants of the training programme consisted of Municipal Officials of Uttar Pradesh. In all 12 participants participated in the programme. The programme was coordinated by Dr. Rajeev Narayan, Dy. Director, RCUES, Lucknow.

Training Programme on Municipal Resource Mobilization & Financial Management in Urban Local Bodies

Local Governments in India suffer from fiscal imbalances due to challenges in raising requisite funds to meet their mandated functions. Even though urban local governments should be able to raise revenues to fund public services, at this time, they neither have adequate revenue assignments nor a sufficiently large tax base to generate required revenues. They are, therefore, mostly dependent on intergovernmental transfers from higher levels of government to meet their expenses. Keeping in view the importance of the above subject, the Regional Centre for Urban & Environmental Studies, Lucknow organized a one day training programme on Municipal Resource Mobilization & Financial Management in Urban Local Bodies on March 7, 2014 at Lucknow. The objective of the training

programme was to sensitize the officials of Urban Local Bodies towards the need for mobilization of municipal resources and to discuss on ways and means of enhancing municipal revenue. The participants included Executive Officers, Tax Officers and Accountants from Nagar Palika Parishads and Nagar



Dr. B.C. Goel, Retd. Deputy Director, Directorate of Local Bodies, Govt. of U.P. along with faculty of RCUES addressing the participants during the training programme

Panchayats of Uttar Pradesh. In all 11 participants participated in the programme. The programme was coordinated by Dr Alka Singh, Deputy Director, RCUES, Lucknow.

Regional Workshop on Benchmarking Urban Services

A one day Regional Workshop on Benchmarking Urban Services was organized by the Regional Centre under the auspices of MoUD, GOI on March 11, 2014 at Lucknow. The aim of the workshop was to highlight the need, importance and relevance of bench marking in municipal services and to discuss the procedure, techniques, tools and framework for service level bench marking. Executive Officers from the ULBs of Uttar Pradesh participated in the workshop. In all there were nine participants in the workshop. The workshop was co-ordinated by Dr. Urmila Bagga, Senior Expert, RCUES.



Capacity Building Activities under Ministry of Housing and Urban Poverty Alleviation, GoI

RAJIV AWAS YOJANA

In pursuance of the vision of Slum Free India, Ministry of Housing & Urban Poverty Alleviation, Government of India, launched Rajiv Awas Yojana to provide housing facilities to slum dwellers in June, 2011. The flagship scheme is in two phases – first the preparatory phase that existed for a period of two years and ended in June, 2013; the implementation phase for a period of 2013-2022. The scheme aims to achieve the vision of Slum Free City by encouraging States/Union Territories to tackle the problem of slums in a definitive manner a multi-pronged approach focusing on bringing all existing slums within the formal system and enabling them to avail the basic amenities and tackling the shortages of urban land and housing. In view of the growing importance of training and capacity building of Stakeholders for effective implementation of the scheme, the Ministry of Housing & Urban Poverty Alleviation, Government of India has entrusted the task of orientation and training of concerned officials and people's representatives of Urban Local Governments in 10 states including North Eastern region. During the financial year 2013-14 total ten workshops/training programmes were organized by RCUES, Lucknow benefitting 310 participants.

S.No.	Topic	Venue	Duration	Date	No. of Participants	Coordinator
1	RAY	Gangtok	One day	Dec-18, 2013	35	Dr Urmila Bagga
2	RAY	Lucknow	One day	Dec-24, 2013	33	Dr Anjuli Mishra
3	RAY	Lucknow	One day	Dec-27, 2013	34	Dr Anjuli Mishra
4	RAY	Kohima	One day	Jan-14, 2014	26	Dr Urmila Bagga
5	RAY	Agartala	One day	Jan-17, 2014	44	Dr AK Singh
6	RAY	Shillong	One day	Jan-24, 2014	22	Dr AK Singh
7	RAY	Lucknow	Two days	Jan 29-30, 2014	23	Dr Anjuli Mishra
8	RAY	Itanagar	One day	Jan-31, 2014	40	Dr AK Singh
9	RAY	Aizwal	One day	Jan-30-31, 2014	21	Dr Rajeev Narayan
10	RAY	Lucknow	Two days	Feb-5-6, 2014	32	Dr Anjuli Mishra

Study Tour for UPA

The Centre helped RCUES, Hyderabad in organizing two Exposure Visits to MEPMA at Hyderabad for the officials of West Bengal. The first tour was organized from 18-20 November 2013 and second from 9-11 December 2013. Both the trips were coordinated by Dr. Rajeev Narayan, Dy. Director, RCUES Lucknow.

Photo Gallery



Prof. Nishith Rai, Director addressing the participants along with the Faculty, RCUES, Lucknow



(L to R) Sri N.H. Ansari, Vice Chairperson Namchi Municipal Council, Sri L.B. Chettri, Special Secretary, Dept. Of HUD, Govt. of Sikkim, Chief Guest Sri B. Tamang, Chairperson, Namchi Municipal Council, Sri R.N. Pradhan, State Nodal Officer, RAY, Govt. of Sikkim at the Inaugural Session



Sri R.L Rinawma, Principal Secretary, Dept. of UD & PA, Govt. of Mizoram addressing the participants during the State Level Training Programme on "Rajiv Awas Yojana" at Aizwal



Sri Hmingdailova Khiangte, Hon'ble Parliamentary Secretary addressing the participants during the State Level training programme on "Rajiv Awas Yojana" at Aizwal



Chief Guest Sri Zhaleo Rio, IAS (Retd.), Hon 'ble Parliamentary Secretary, Urban Development Dept., Govt. of Nagaland, Dr. Toshimanen Ozukum, Addl. Director, MAC and Nodal Officer, RAY, Govt. of Nagaland & Dr. Urmila Bagga, Senior Expert and Workshop Coordinator at the Inaugural Session of Rajiv Awas Yojana in Kohima on 14th January, 2014



Sri B. Dutta, Director, Department of Urban Affairs, Govt. of Meghalaya, flanked by Shri Himanshu Chandra, Assistant Director, RCUES, addressing the participants

NATIONAL URBAN LIVELIHOODS MISSION

The Ministry of Housing and Urban Poverty Alleviation, Government of India launched National Urban Livelihoods Mission to reduce poverty and vulnerability of the urban poor households by enabling them to access gainful self employment and skilled wage employment opportunities, resulting in an appreciable improvement in their livelihoods on a sustainable basis, through building strong grassroots level institutions of the poor. The mission aims at providing shelters equipped with essential services to the urban homeless in a phased manner. In addition, the mission would also address livelihood concerns of the urban street vendors by facilitating access to suitable spaces, institutional credit, social security and skills to

the urban street vendors for accessing emerging market opportunities.

As National Resource Centre under Ministry of Housing & Urban Poverty Alleviation, Government of India, the Centre conducted several capacity building programmes under NULM. The key objectives of the workshop were to sensitize & orient the participants and provide a platform for meeting, interaction and mutual experience sharing for various State/City/Field level officials concerned with the implementation of NULM including bankers in their respective states. During the financial year 2013-14 total 13 workshops/training programmes were organized by RCUES, Lucknow benefitting 671 participants.



Sri J. P. Singh, Director, SUDA, Govt. of UP along with Sri A. K. Mishra, Director/Dy. Secretary, MOHUPA, GOI & Prof. Nishith Rai, Director, RCUES inaugurating the workshop.



Sri Surajit Bose, Joint Director, SUDA, West Bengal delivering the inaugural address in Kolkata during one day State Level Training Programme on NULM on 7th January, 2014

A. Regional Workshops					
S.No.	Topic	Venue	Date	No. of Participants	Coordinator
1	Implementation of NULM Guidelines	Lucknow	Sep-28, 2013	26	Dr Urmila Bagga
2	Operationalizing NULM	Lucknow	Oct-29, 2013	126	Dr Urmila Bagga
B. State Level Workshops					
3	Social Mobilization, Institutional Development & Financial Inclusion under NULM	Dehradun	Dec-11, 2013	31	Dr. Anjuli Mishra
4	Implementation of NULM	Ranchi	Dec-19, 2013	61	Dr Rajeev Narayan
5	Implementation of NULM	Bhubaneswar	Dec-30, 2013	48	Dr Urmila Bagga
6	Social Mobilization, Institutional Development & Financial Inclusion under NULM	Bhubaneswar	Dec-31, 2013	61	Dr Urmila Bagga
7	State Level Training Program on Implementation of NULM	Kolkata	Jan-07, 2014	38	Dr Rajeev Narayan
8	State Level Training Program on Implementation of NULM	Kolkata	Jan-08, 2014	33	Dr Rajeev Narayan
9	Social Mobilization, Institutional Development & Financial Inclusion under NULM	Allahabad	Jan-13, 2014	56	Dr Alka Singh
10	Self-Employment & Enterprise Management through skill development under NULM	Patna	Jan-16, 2014	33	Dr Anjuli Mishra
11	Implementation of NULM	Patna	Jan-17, 2014	36	Dr Anjuli Mishra
12	Implementation of NULM	Agra	Jan-18, 2014	46	Dr Alka Singh
13	Self-Employment & Enterprise Management through skill development under NULM	Kanpur	Jan-24, 2014	76	Dr Alka Singh



Sri M. N. Pradhan, Director, SUDA, West Bengal delivering the inaugural address in Kolkata during one day State Level Training Programme on NULM on 8th January, 2014



Sri P.K. Tiwari, Municipal Commissioner, Nagar Nigam, Allahabad along with Faculty Members of RCUES Lucknow at the Inaugural Session of the workshop at Allahabad

NATIONAL BUILDING ORGANISATION

The RCUES, Lucknow organized various State Level Workshops under USHA on Collection of Housing & Building Construction Statistics & Building Material Prices & Wages of Labour and Development of Housing Start-up-Index (HSUI). These programmes were held under the auspices of the Ministry of Housing and Urban Poverty Alleviation, Govt. of India. The objectives of these workshops were:

- To discuss the importance & objectives of Housing & Building Construction Statistics.
- To acquaint the participants with HSUI & BRIKS and their formats.
- Hands - on training on e-tool for BRIKS & HSUI.

During the financial year 2013-14 total 16 workshops/training programmes were organized by RCUES, Lucknow benefitting 624 participants.

S. No.	Topic	Venue	Date	No of participants	Coordinator
State Level Workshops under USHA					
1	BRIKS & HSUI	Dehradun	Dec-10, 2013	52	Dr Anjuli Mishra
2	BRIKS & HSUI	Gangtok	Dec-19, 2013	25	Dr Urmila Bagga
3	BRIKS & HSUI	Agartala	Jan-18, 2014	62	Dr AK Singh
4	BRIKS & HSUI	Shillong	Jan-20, 2014	22	Dr Urmila Bagga
5	BRIKS & HSUI	Imphal	Jan-22, 2014	37	Dr AK Singh
6	BRIKS & HSUI	Guwahati	Jan-22, 2014	77	Dr Urmila Bagga
7	BRIKS & HSUI	Mizoram	Jan-29, 2014	35	Dr Rajeev Narayan
8	BRIKS & HSUI	Itanagar	Feb-03, 2014	36	Dr AK Singh
9	BRIKS & HSUI	Lucknow	Feb-10, 2014	24	Dr AK Singh
10	BRIKS & HSUI	Lucknow	Feb-13, 2014	45	Dr AK Singh
11	BRIKS & HSUI	Bhubaneswar	Feb-15, 2014	35	Dr Urmila Bagga
12	BRIKS & HSUI	Ranchi	Feb-18, 2014	29	Dr Rajeev Narayan
13	BRIKS & HSUI	Ranchi	Feb-19, 2014	33	Dr Rajeev Narayan
14	BRIKS & HSUI	Patna	Feb-20, 2014	50	Dr AK Singh
15	BRIKS & HSUI	Patna	Feb-21, 2014	41	Dr AK Singh
16	BRIKS & HSUI	Nainital	Mar-13, 2014	21	Dr AK Singh

International Training

Training Programme on the aspects of Public Health Engineering (PHE) was hosted by Japan International Cooperation Agency (JICA) in Tokyo from 3rd to 7th February 2014. The PHE training program was organized as part of the collaboration between the Ministry of Urban Development, Govt. of India and the Government of Japan under the implementing organization Tokyo Engineering Consultants Co. Ltd., Tokyo, Japan. This training was a capacity building exercise for the trainers on PHE focusing on wastewater treatment and reuse technologies. The delegates visited a WTP on wastewater treatment and reuse

technologies facilities in Japan as part of the training. Nine participants were nominated by the MoUD for training from various training institutes of India. Two faculty members, Dr Alka Singh, Deputy Director and Mr Himanshu



Participants at the training

Photo Gallery



Chief Guest Sri Pranjal K. Bhattacharjya, Director, Directorate of Economics & Statistics, Govt. of Assam addressing the participants at the Inaugural Session at Guwahati



Chief Guest Sri A. Marbaniang, Director, Directorate of Economics & Statistics, Govt. of Meghalaya addressing the participants at the inaugural session at Shillong



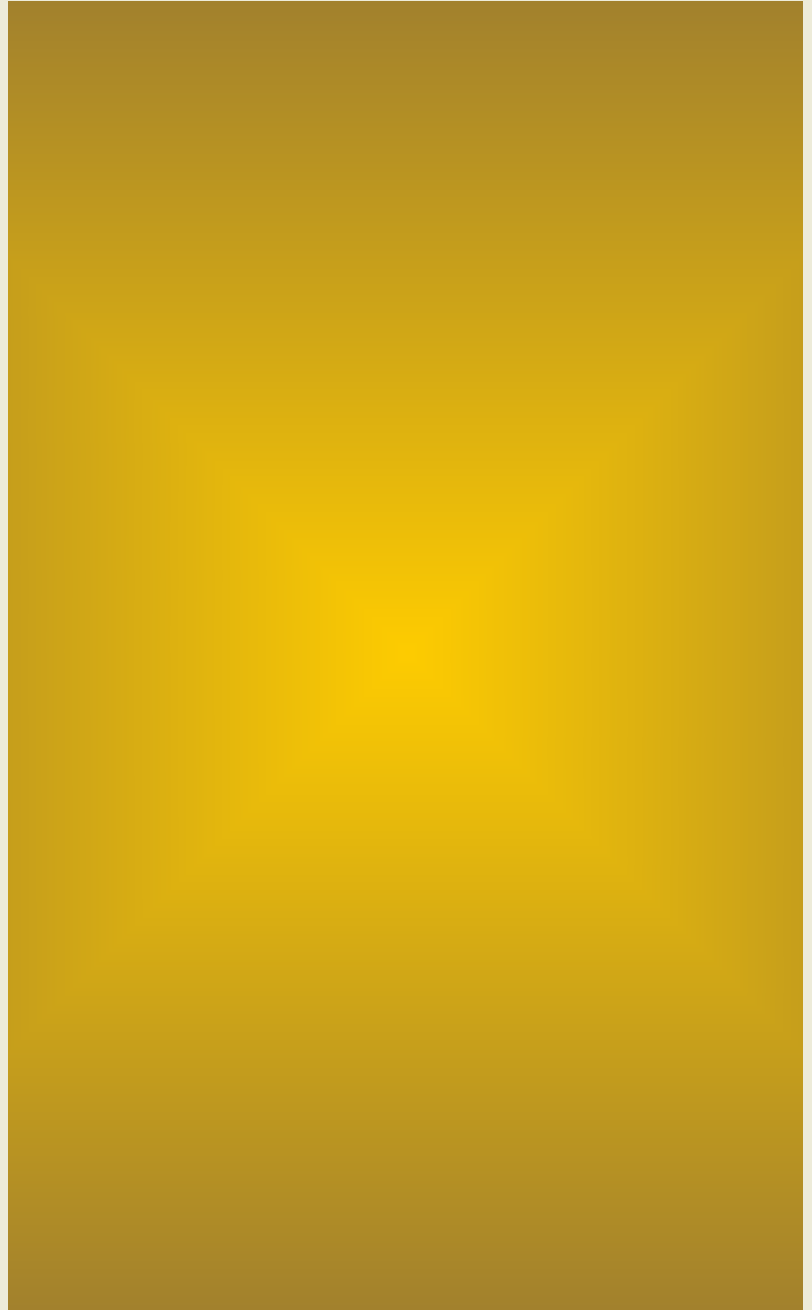
Sri Parth Sarthi Chaudhury, Director, Directorate of Economics & Statistics, Govt. of Jharkhand, lighting the inaugural lamp at the State Level Workshop under USHA on BRIKS & HSUI at Ranchi on 19th Feb, 2014



Sri Saihlira, Director, Directorate of Economics & Statistics, Govt. of Mizoram delivering the inaugural address during the State Level Workshop Under USHA on BRIKS & HSUI at Aizawl on 29th January, 2014



Mr. P. K. Gupta, Jt. Director, Directorate of Economics & Statistics, Govt. of Jharkhand lighting the inaugural lamp at the State Level Workshop Under USHA on BRIKS & HSUI at Ranchi on 18th Feb, 2014



II : Publications

PUBLICATIONS

RCUES has been bringing out various publications from time to time. This is in line with its policy of keeping the public, especially the members of Ministry of Urban Development & Ministry of Housing & Urban Poverty Alleviation, Govt. of India well informed about the objective, activities and achievements of the Regional Centre. During the period from April to March 2014, it brought out the following publications:

Annual Report

Two Issues of Bi- Lingual Journal Urban Panorama

Ist Issue: January to June 2013

IInd Issue: July to December 2013

Four issues of Quarterly Newsletter

Ist Issue: April to June 2013

IInd Issue: July to September 2013

IIIrd Issue: October to December 2013

IVth Issue: January to March 2014



III : Staff Positions And Fiscal Performance

Staff Positions as on March 31, 2014

(Govt. of India Grant)

FACULTY

<i>S. No.</i>	<i>Name</i>	<i>Designation</i>
1	Prof. Nishith Rai	Director
2	Mr. A K Gupta	Additional Director
3	Dr. Anjuli Mishra	Joint Director
4	Dr. Rajeev Narayan	Deputy Director
5	Dr. Alka Singh	Deputy Director
6	Dr. A.K. Singh	Assistant Director
7	Mr. Himanshu Chandra	Assistant Director
8	Dr. Nasruddin	Assistant Director
9	Mr. Ajit Kumar Mishra	Assistant Director

ADMINISTRATION

<i>S. No.</i>	<i>Name</i>	<i>Designation</i>
1	Sri Indu Shekhar	Administrative Officer
2	Sri. D.K. Rai	Management Officer
3	Smt. Rachna Rishi	Publication Officer
4	Sri. Tushar Gupta	Asst. Accounts Officer
5	Sri B.D. Mukharjee	Personal Secretary to Director
6	Sri B.K. Pandey	Hostel Manager
7	Dr. R.K. Trivedi	Asst. Librarian
8	Sri Shree Bhagwan	Accountant
9	Sri R.B. Singh	Accountant
10	Smt. N. Kamala Kumari	Stenographer
11	Sri Satish Kumar	Senior Assistant
12	Dr. Ashok Kumar Singh	Store Keeper
13	Sri Prashant Kumar Mishra	Jr. Assistant
14	Ms. Deepa Pandey	Bilingual Typist
15	Sri Subhash Chandra	Care Taker
16	Sri Puttan Yadav	Driver
17	Sri Raj Bali	Carpenter
18	Sri S P Yadav	Janitor
19	Sri Sahid Jamil Ansari	Peon
20	Sri Deshraj Singh	Peon
21	Smt. Vimla Verma	Peon
22	Sri Ram Bahadur	Peon
23	Smt. Geeta	Peon

Staff Positions as on March 31, 2014

(Govt. of Uttar Pradesh Grant)

ADMINISTRATION

<i>S. No.</i>	<i>Name</i>	<i>Designation</i>
1	Sri Shailendra Kumar Singh	Office Assistant
2	Sri Mohd. Iqbal Ahmad	Care Taker
3	Sri Subedar Pal	Driver
4	Sri Sachin Kumar	Driver
5	Sri Raj Kumar	Chowkidar
6	Sri Raju	Chowkidar
7	Sri Nankau	Hostel Bearer
8	Sri Anil Kumar Pal	Hostel Bearer
9	Sri Tarakeshwar Singh	Hostel Bearer
10	Sri Ram Balak	Hostel Bearer
11	Sri Bhagwat Sharan	Mashalchi
12	Sri Pratap Ram	Cook
13	Sri Hari Lal	Sweeper
14	Sri Naresh Chandra	Sweeper

Fiscal Performance

Form No. GFR 19 –A

[See Rule 212 (1)]

Form of Utilization Certificate

Certified that out of Rs.23500000.00 Grants-in-aid released during the period 01.04.2013 to 31.03.2014 in favour of RCUES,Lucknow University under this Ministry/Department letter No.given below, bank interest earned during the year is Rs.2375.00 & Rs.19372.66 (minus) balance of the previous year and a sum of Rs.23483102.00 has been utilized for the purpose of salaries & non – salaries expenditures of RCUES,Lucknow for which it was sanctioned. Thus there is an excess of expenditure over grant is Rs.99.66 at the end of the year.

S.No.	Letter No. & date	Amt (In Rs.)
Grant in aid Santioned/Received Salary Head		
1	G.O.NO.G-20011/02/2008 - UCD (Vol.1) dtd 06.09.13 as 1st & 2nd Installment	87,50,000.00
2	G.O.NO.G-20011/02/2008 - UCD (Vol.1) dtd 19.12.13 as 3rd Installment	43,75,000.00
3	G.O.NO.G-20011/02/2008 - UCD (Vol.1) dtd 26.02.14 as 4th Installment	43,75,000.00
Grant in aid Santioned/Received Non-Salary Head		
1	G.O.NO.G-20011/02/2008 - UCD (Vol.1) dtd 06.09.13 as 1st & 2nd Installment	30,00,000.00
2	G.O.NO.G-20011/02/2008 - UCD (Vol.1) dtd 19.12.13 as 3rd Installment	15,00,000.00
3	G.O.NO.G-20011/02/2008 - UCD (Vol.1) dtd 26.02.14 as 4th Installment	15,00,000.00
TOTAL GRANT RECEIVED		2,35,00,000.00

Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercise following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kind of checks exercised

1. Cash Book
2. Ledger
3. Payment Vouchers

For S N Mishra & Co
Chartered Accountant

Receipt & Payment Account for the Period From 1st April 2013 to 31st March 2014

As per our even date report attached


**Utilization Certificate of Recurring Grant from Department of Urban
Development
Government of Uttar Pradesh for the year 2013-14**

1. Name of the Institution	Regional Centre for Urban and Environmental Studies, Lucknow	
2. Opening Balance	Nil	
3. No. & Date of G.O. Sanctioning the grant amount sanctioned by the Government	G.O. No. 1800/अ-5- 2013-3 आर०सी०/०४ Dated 11-04-2013	Rs. 47,68,00.00
4. Total amount spent by the Management		Rs. 47,68,000.00
5. Kinds of grant Recurring or Non- recurring	Recurring	
6. Purpose of Grant	Salary and allowances	
7. Unspent balance if any	Nil	
8. Deficit met (loan from other a/c)		Rs. 3,88,950.00 (2009-10)

**Certified that the grant-in-aid as mentioned above has been utilized for
the purpose for which it was sanctioned.**

Place: Lucknow

Date: 23-05-2014


Director
 (Prof. NISHITH RAI) /
 DIRECTOR
 Regional Centre for Urban and
 Environmental Studies
 Lucknow University
 LUCKNOW

Regional Centre for Urban and Environmental Studies, Lucknow

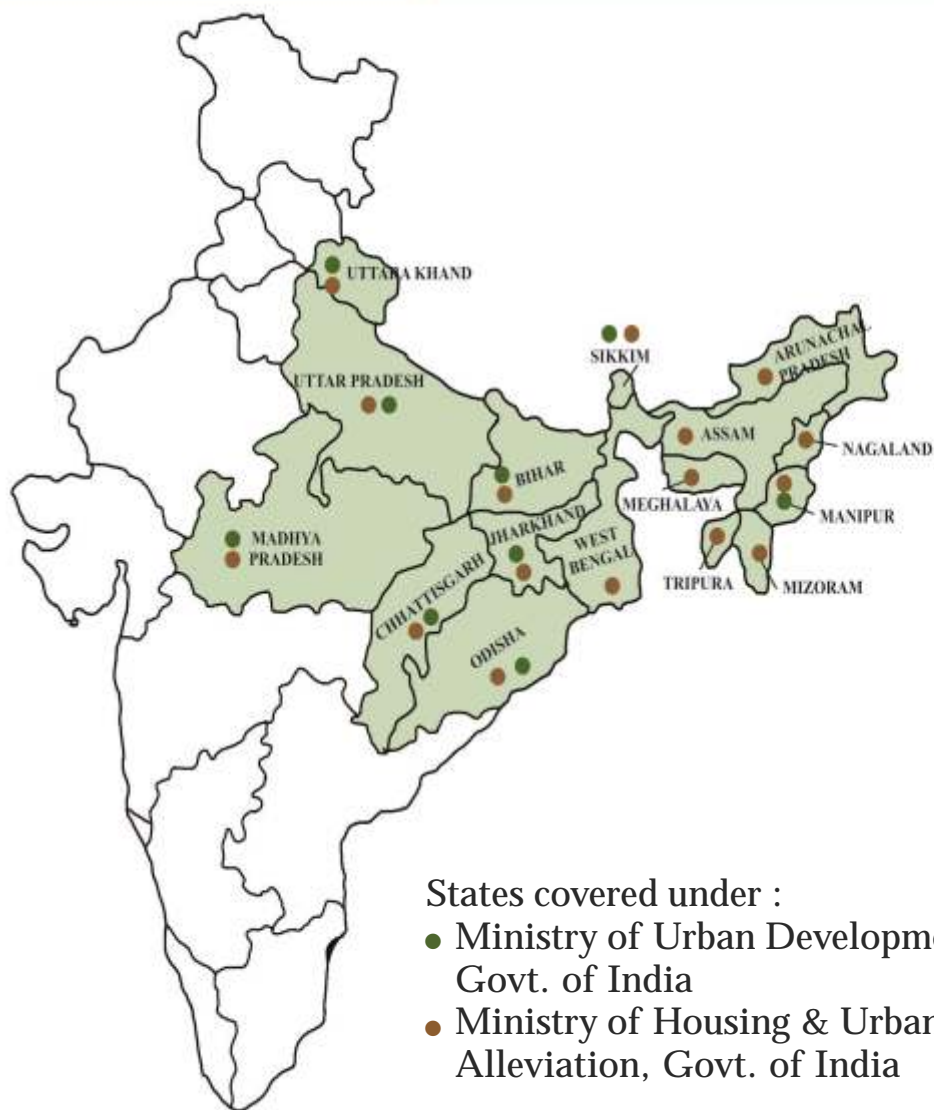
**Grant from Department of Urban Development, Government of
Uttar Pradesh**

Receipt and Payment Account for the year ending 31st March, 2014

Receipt	Amount	Payment	Amount
To opening balance	Nil	By Loan Payable (as on 1-4-13	Rs. 11,07,220.00
<u>To Grant-in-aid</u> <u>sanctioned/received G.O.</u> No. 1800/ बी-5-2013- 3/आर०सी०/०४ dated 11-04-2013	Rs 47,68,000.00	By Establishment	Rs. 40,49,730.00
To Loan Payable (as on 31-03-2014)	Rs. 3,88,950.00	By Closing Balance	Nil
Total	51,56,950.00	Total	51,56,950.00

19/4/2014
(Prof. NISHANT RAY)
DIRECTOR
Regional Centre for Urban and
Environmental Studies
LUCKNOW UNIVERSITY
LUCKNOW

Our Reach



Regional Centre for Urban & Environmental Studies

(Established by Ministry of Urban Development, Government of India)

For further details contact:

Regional Centre for Urban & Environmental Studies, Lucknow

Adjacent Registrar's Office, University of Lucknow- 226007

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Fax: 0522-2740-165

Email: directorrcueslko@gmail.com

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Upcoming Campus of RCUES, at
Indira Nagar, Lucknow

